

# Algorithm Evaluation and Growth of Functions

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# Algorithm Evaluation

- Program solve **instances** of a problem
  - Good algorithms scale well as instances become large
- Clients are only interested how fast a given instance of a given size is solved
- Algorithm designers are interested in designing algorithms that work well independent of the size of the instance

# Algorithm Evaluation

- Evaluate performance by giving maximum or expected run time of a program on an instance size  $n$ 
  - Gives a function  $\phi(n)$
  - Interested in asymptotic behavior

# Algorithm Evaluation

- Example: Compare  $n^2$ ,  $0.1n^3$ ,  $0.01 \cdot 2^n$  for  $n = 0, 100, 200, \dots, 1000$

n	$n^{**2}$	$0.1n^{**3}$	$0.01 \cdot 2^{**n}$
0	0.000000e+00	0.000000e+00	1.000000e-02
100	1.000000e+04	1.000000e+05	1.267651e+28
200	4.000000e+04	8.000000e+05	1.606938e+58
300	9.000000e+04	2.700000e+06	2.037036e+88
400	1.600000e+05	6.400000e+06	2.582250e+118
500	2.500000e+05	1.250000e+07	3.273391e+148
600	3.600000e+05	2.160000e+07	4.149516e+178
700	4.900000e+05	3.430000e+07	5.260136e+208
800	6.400000e+05	5.120000e+07	6.668014e+238
900	8.100000e+05	7.290000e+07	8.452712e+268
1000	1.000000e+06	1.000000e+08	1.071509e+299

# Asymptotic Growth

- To compare the growth use Landau's notation
  - Informally
    - **Big O:**  $f(n) = O(g(n))$  means  $f$  grows slower or equally fast than  $g$
    - **Little O:**  $f(n) = o(g(n))$  means  $f$  grows slower than  $g$
    - **Theta:**  $f(n) = \Theta(g(n))$  means  $f$  and  $g$  grow equally fast
    - **Omega:**  $f(n) = \Omega(g(n))$  means  $f$  grows faster than  $g$

# Landau Notation

- Exact definitions
  - Little o:

$$f(n) = o(g(n)) \Leftrightarrow \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

# Landau Notation

- Exact definitions
  - Big O:

$$f(n) = O(g(n)) \Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n \in \mathbb{N}, n > n_0 : |f(n)| \leq cg(n)$$

# Landau Notation

- Exact definitions

- $\Theta$ :

$$f(n) = \Theta(g(n)) \Leftrightarrow \exists c_0 > 0 \exists c_1 > 0 \exists n_0 > 0 \forall n \in \mathbb{N}, n > n_0 : c_0 g(n) < f(n) \leq c_1 g(n)$$



# Landau Notation

- Exact definitions

- $\Omega$ :

$$f(n) = \Omega(g(n)) \Leftrightarrow \exists c_1 > 0 \exists n_0 > 0 \forall n \in \mathbb{N}, n > n_0 : |f(n)| \geq c_1 g(n)$$

# Landau Notation

- In general, we only look at positive functions
- For analytic functions (complex differentiable), there are easier ways to determine the relationship between functions

# Example

- Use the definition to show that  $2n^2 + 4n + 5 = O(n^2)$  for  $n \rightarrow \infty$

# Example

- $2n^2 + 4n + 5 \leq 2n^2 + 4n^2 + 5n^2$  if  $n \geq 1$
- $2n^2 + 4n + 5 \leq 11n^2$  if  $n \geq 1$
- Pick  $c_0 = 12$  and  $n_0 = 1$  and find that
  - $\forall n > n_0, 2n^2 + 4n + 5 < 12 \cdot n^2$
- Therefore  $2n^2 + 4n + 5 = O(n^2)$  for  $n \rightarrow \infty$
- Notice that we did not care about the exact constants

# Some Useful Theorems

- Assume from now on that all functions  $f$  are positive
  - $\forall n \in \mathbb{N} : f(n) > 0$
- We also assume that the functions are analytic
  - Differentiable as complex functions (almost everywhere)
  - This includes all major functions used in engineering
  - Implies that they are infinitely often differentiable (almost everywhere)

# Some Useful Theorems

- Assume  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = a > 0$ 
  - (this means that we also assume that the limit exists)
- Then:  $f(n) = \Theta(g(n))$  for  $n \rightarrow \infty$

# Some Useful Theorems

- Proof:

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = a > 0$

- $\Rightarrow \forall \epsilon > 0 \exists \delta > 0 \forall n > 1/\delta : \left| \frac{f(n)}{g(n)} - a \right| < \epsilon$

- Definition of the limit

- $\Rightarrow \forall \epsilon > 0 \exists \delta > 0 \forall n > 1/\delta : a - \epsilon < \frac{f(n)}{g(n)} < a + \epsilon$

# Some Useful Theorems

- Now we select one particular  $\epsilon > 0$ , namely  $\epsilon = a/2$ .

- For this selection, we have

- $\exists \delta > 0 \forall n > 1/\delta : a/2 < \frac{f(n)}{g(n)} < (3/2)a$

- We also set  $n_0 = \lceil 1/\delta \rceil$

- $\forall n > n_0 : a/2 < \frac{f(n)}{g(n)} < (3/2)a$

- Now we have

- $\forall n > n_0 : \frac{a}{2}g(n) < f(n) < \frac{3a}{2}g(n)$

- Thus by definition:  $f(n) = \Theta(g(n))$



# Some Useful Theorems

- $f(n) = o(g(n))$  implies  $f(n) = O(g(n))$

Proof:

$f(n) = o(g(n))$  implies

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0,$$

which implies  $\forall \epsilon > 0 \exists \delta > 0 \forall n > \frac{1}{\delta} : \frac{f(n)}{g(n)} < \epsilon$

# Some Useful Theorems

We select  $\epsilon = 1$ , which implies

$$\exists \delta > 0 \forall n > \frac{1}{\delta} : \frac{f(n)}{g(n)} < 1$$

We select  $n_0 = \lceil \frac{1}{\delta} \rceil$  and obtain

$$\forall n > n_0 : \frac{f(n)}{g(n)} < 1$$

which implies

$$\forall n > n_0 : f(n) < g(n), \text{ i.e.}$$

$$f(n) = O(g(n))$$

# Some Useful Theorems

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$  implies  $f(n) = \Omega(g(n))$
- Proof is homework

# Examples

- Relationship between  $\log(n)$  and  $n$ ?
- Evaluate the asymptotic behavior of  $\frac{\log n}{n}$ .
- The limit is of type  $\frac{\infty}{\infty}$ , so we use the theorem of L'Hôpital
- Take the derivatives of denominator and numerator
- Obtain  $\frac{\frac{1}{n}}{1} = \frac{1}{n}$ .
- Because  $\lim_{n \rightarrow \infty} \frac{1}{n} = 0$ , we have  $\lim_{n \rightarrow \infty} \frac{\log n}{n} = 0$  and  $\log(n) = o(n)$

# Examples

- Relationship between  $2^n$  and  $3^n$ ?

- $$\lim_{n \rightarrow \infty} \frac{2^n}{3^n} = \lim_{n \rightarrow \infty} \left(\frac{2}{3}\right)^n = 0$$

- Therefore  $2^n = o(3^n)$ .

# Examples

- What is the relationship between  $\sqrt{n}$  and  $\log(n)$ ?
- Answer:

$$\lim_{n \rightarrow \infty} \frac{\sqrt{n}}{\log n} =$$

$$= \lim_{n \rightarrow \infty} \frac{\frac{1}{2\sqrt{n}}}{\frac{1}{n}}$$

$$= \lim_{n \rightarrow \infty} \frac{n}{2\sqrt{n}}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{2} \sqrt{n}$$

$$= \infty, \text{ therefore } \sqrt{n} \in \Omega(\log(n)) \text{ and } \log(n) = o(\sqrt{n})$$

# Examples

- Examples: What is the relationship between  $\log_2(n)$  and  $\log_3(n)$

$$a = \log_3(n)$$

$$\Leftrightarrow 3^a = n$$

$$\Leftrightarrow a \log_2(3) = \log_2(n)$$

$$\Leftrightarrow \log_3(n) = \frac{1}{\log_2(3)} \log_2(n)$$

$$\log_3(n) \in \Theta(\log_2(n))$$